



TRCHP0114US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Alp Burak et. al.

Serial No: 10/007,512

Art Unit: 2152

Filed: December 5, 2001

Examiner: Not Yet Assigned

For: **REAL-TIME FINANCIAL CHARTING SYSTEM**RESPONSE

Box Missing Parts
Commissioner for Patents
United States Patent & Trademark Office
Washington, D.C. 20231

Sir:


This paper is responsive to the Notice to File Missing Parts mailed January 9, 2002, in the application noted above. A copy of such Notice is enclosed herewith. Also enclosed is an executed Combined Declaration and Power of Attorney and an Assignment along with the an Assignment Recordation Cover Sheet. Applicants respectfully request recordation of the Assignment. A Petition and Fee for a two (2) month extension of time accompanies this Response. A check in the amount of \$305.00 is enclosed to cover the costs associated for the filing of these papers (\$65.00 for the late fee surcharge; \$40.00 for the Assignment recordal fee, and \$200.00 for the Petition and Fee for a two (2) month extension of time).

The Commissioner is hereby authorized to charge the following additional fees by this paper and during the entire pendency of this application to Deposit Account No. 18-0988; 37 CFR 1.16(a), (f) or (g) (filing fees); 37 CFR 1.16(b), (c) and (d) (presentation of extra claims); 37 CFR 1.16(e) (surcharge for filing

the basic filing fee and/or declaration on a date later than the filing date of the application); and 37 CFR 1.17 (application processing fees).

Respectfully submitted,

RENNER, OTTO, BOISSELLE & SKLAR, LLP

By 
Mark D. Saralino, Reg. No. 34,243

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Cleveland, Ohio 44116
(216) 621-1113

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this paper and the documents enclosed herewith are being deposited on the date indicated below with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Box Missing Parts, Commissioner for Patents, United States Patent and Trademark Office, Washington, D.C. 20231.

Date: May 9, 2002


Andrew Romero

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**FACSIMILE TRANSMISSION COVER SHEET****RENNER, OTTO, BOISSELLE & SKLAR, LLP**

1621 Euclid Avenue - Nineteenth Floor

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Phone: (216) 621-1113

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Date: July 22, 2002

To: U.S. Patent and Trademark Office

Fax No: 703/746-4060

From: Mark D. Saralino

Re: **Request for Substitute Papers**

Application No. 10/007,512

Filing Date: 12/05/2001

First Named Applicant: Burak, Alp

Atty. Docket No: TRCHP0114US

Pages: 10 *(including this cover sheet)*

Message: Please find attached the executed Request for Substitute Papers, along with a copy of the papers filed on 05/22/02 (certificate of mailing dated 05/09/02) for the above-identified application per your request mailed on July 12, 2002.

If any further information is required, please advise.

Mark D. Saralino

Confidentiality Note:

The information contained in this facsimile message may be legally privileged and is confidential information intended only for the use of the individual or entity named above. It is exempt from disclosure under applicable law including court orders.

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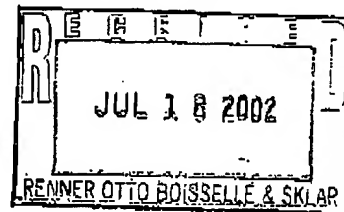


UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231
www.uspto.gov

Application Number	Filing Date	First Named Applicant	Atty. Docket No.
10/007,512	12/05/2001	Burak, Alp	TRCHP0114US

Mark D. Saralino
Renner, Otto, Boisselle, & Sklar, L.L.P.
19th Floor
1621 Euclid Avenue
Cleveland OH 44115

Title: Real-time financial charting system



Date Mailed: 07/12/2002

Request for Substitute Papers

The papers filed on 05/22/02 (certificate of mailing dated 05/09/02) are no longer in condition to become part of the permanent records of the United States Patent and Trademark Office (USPTO) for this application (37 CFR 1.52(a)) due to the United States Postal Service sanitization process.

The USPTO requests that applicant provide a copy of the above-identified papers (except for any U.S. or foreign patent documents submitted with the above-identified papers) with a statement that such copy is a complete and accurate copy of the above-identified papers (signing and returning a copy of this notice will provide such a statement). The reply to this letter should be submitted to the USPTO by facsimile at the number indicated 703-746-4060(OIPE).

Alternatively, the reply to this letter may be hand-carried to the Customer Service Window located in Room 1B03 of Crystal Plaza Building 2, Arlington, Virginia, 22202.

The USPTO **strongly** prefers that the reply to this letter be submitted by facsimile. However, if applicant cannot submit the reply to this letter by facsimile (or hand-delivery), the reply may be mailed to: Box Duplicate OIPE, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202-2327.

This letter is not a notice under 37 CFR 1.251. However, failure to timely replay to this notice within **two (2) weeks** of the date of receipt of this letter may result in the USPTO issuing a notice under 37 CFR 1.251. **A copy of this notice should be included with the reply.**

The enclosed papers are a complete and accurate copy of the above-identified papers.

Name: Mark D. Saralino Registration No.: 34,243

Signature:  Date: July 22, 2002



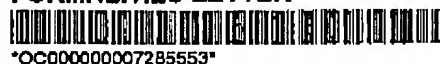
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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/007,512	12/05/2001	Alp Burak	TRCHP0114US

CONFIRMATION NO. 2295

FORMALITIES LETTER



0C000000007285553

Mark D. Saralino
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19th Floor
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Date Mailed: 01/09/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$85 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE